

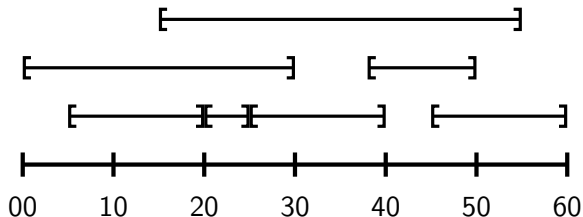
# Correctness proofs for interval scheduling COMS20010 (Algorithms II)

John Lapinskas, University of Bristol

## Recall from last time

To solve interval scheduling with input  $\mathcal{R}$ , we repeatedly choose the compatible interval with the earliest finish time and add it to the output.

**Goal:** Prove our pseudocode `GREEDYSCHEDULE` is correct.



Let's define this formally: breaking ties arbitrarily, let

$A^+ := \arg \min \{f : (s, f) \in \mathcal{R}, A \cup \{(s, f)\} \text{ is compatible}\}$  for all  $A \subseteq \mathcal{R}$ ,

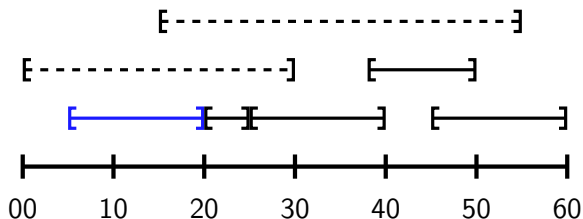
$A_0 := \emptyset$ ,  $A_{i+1} := A_i \cup \{A_i^+\}$ ,  $t := \max\{i : A_i \text{ is defined}\}$ .

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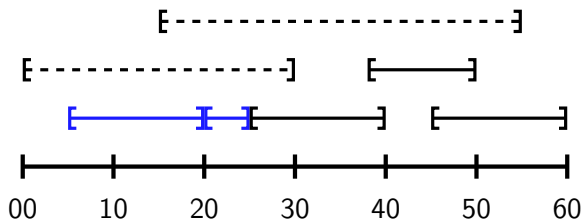
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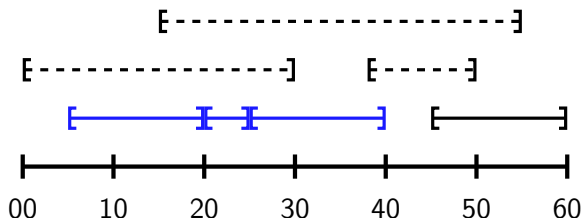
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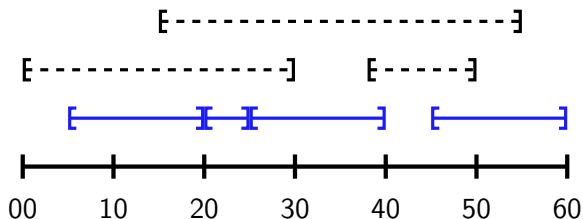
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# Step 1: Express GREEDYSCHEDULE mathematically

$A^+ := \arg \min\{f : (s, f) \in \mathcal{R}, A \cup \{(s, f)\} \text{ is compatible}\}$  for all  $A \subseteq \mathcal{R}$ ,

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**Intuitive algorithm:** Calculate and output  $A_t$ .

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## Algorithm: GREEDYSCHEDULE

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**Input** : An array  $\mathcal{R}$  of  $n$  requests.

**Output** : Maximum compatible subset of  $\mathcal{R}$ .

```
1 begin
2   Sort  $\mathcal{R}$ 's entries so that
    $\mathcal{R} \leftarrow [(s_1, f_1), \dots, (s_n, f_n)]$  where  $f_1 \leq \dots \leq f_n$ .
3   Initialise  $A \leftarrow []$ ,  $\text{lastf} \leftarrow 0$ .
4   foreach  $i \in \{1, \dots, n\}$  do
5     if  $s_i \geq \text{lastf}$  then
6       Append  $(s_i, f_i)$  to  $A$  and update
        $\text{lastf} \leftarrow f_i$ .
7   Return  $A$ .
```

---

**Lemma:** GREEDYSCHEDULE always outputs  $A_t$ .

**Proof:** By induction from the following loop invariant. At the start of the  $i$ 'th iteration of 4–7:

- $A$  is equal to  $A_t \cap \{(s_1, f_1), \dots, (s_{i-1}, f_{i-1})\}$ ;
- $\text{lastf}$  is equal to the latest finish time of any request in  $A$  (or 0 if  $A = []$ ).

**Base case:**



**Inductive step:**



## Step 2: Prove our algorithm outputs a compatible set

$$A^+ := \arg \min\{f : (s, f) \in \mathcal{R}, A \cup \{(s, f)\} \text{ is compatible}\} \text{ for all } A \subseteq \mathcal{R},$$
$$A_0 := \emptyset, \quad A_{i+1} := A_i \cup \{A_i^+\}, \quad t := \max\{i : A_i \text{ is defined}\}.$$

**Lemma:** GREEDYSCHEDULE outputs  $A_t$ . ✓

---

**Lemma:**  $A_t$  is a compatible set.

**Proof:** Instant by induction;  $A_0$  is compatible, and if  $A_i$  is compatible then so is  $A_{i+1} = A_i \cup \{A_i^+\}$  by the definition of  $A_i^+$ . □

Sometimes, life is easy!

(Without the lemma, this would have needed a tedious loop invariant...)



## Step 3: Prove our algorithm outputs a maximum set

$A^+ := \arg \min\{f : (s, f) \in \mathcal{R}, A \cup \{(s, f)\} \text{ is compatible}\}$  for all  $A \subseteq \mathcal{R}$ ,

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**Lemma:** GREEDYSCHEDULE outputs  $A_t$ . ✓

**Lemma:**  $A_t$  is a compatible set. ✓

---

**Lemma:**  $A_t$  is a maximum compatible subset of  $\mathcal{R}$ .

**Proof:** This is harder! But there's a trick: prove that if we compare  $A_t$  to any other compatible set,  $A_t$  will always “do better” on a request-by-request basis (not just overall). We can prove this by induction.

More formally, let  $B \subseteq \mathcal{R}$  be any other compatible set with  $|B| \geq |A_t|$ , and let  $B_i$  consist of the  $i$  fastest-finishing elements of  $B$ .

Then we will show by induction that for all  $0 \leq i \leq t$ , the last finish time of  $B_i$  is no earlier than the last finish time of  $A_i$ .

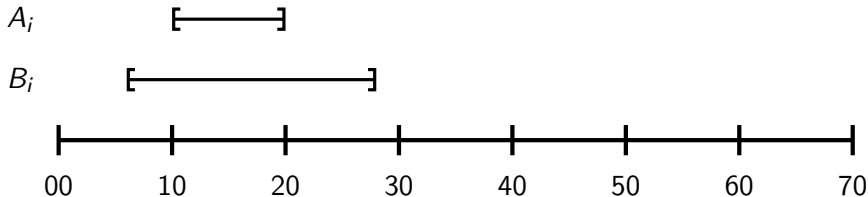
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**Lemma:** Let  $B \subseteq \mathcal{R}$  be any compatible set with  $|B| \geq |A_t|$ , and let  $B_i$  consist of the  $i$  fastest-finishing elements of  $B$ . Then  $i \leq t$ , and the last finish time of  $B_i$  is no earlier than the last finish time of  $A_i$ .

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**Proof:** By induction on  $i$ .



**Base case:**  $A_0^+$  is the fastest-finishing request in  $\mathcal{R}$  by definition. ✓

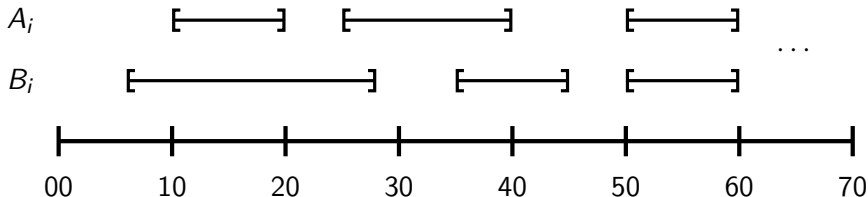
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**Proof:** By induction on  $i$ .

**Base case  $i = 1$ :** ✓



**Inductive step:** Suppose  $A_i$  finishes faster than  $B_i$ .

Let  $B_i^+$  be the  $(i + 1)$ 'st fastest-finishing element of  $B$ .

Since  $A_i$  finishes faster than  $B_i$ ,  $A_i \cup \{B_i^+\}$  is compatible.

Hence by definition,  $A_i^+$  exists and finishes no later than  $B_i^+$ . ✓

$$A^+ := \arg \min\{f : (s, f) \in \mathcal{R}, A \cup \{(s, f)\} \text{ is compatible}\} \text{ for all } A \subseteq \mathcal{R},$$

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**Lemma:** GREEDYSCHEDULE outputs  $A_t$ . ✓

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Putting it all together, we obtain...

**Theorem:** GREEDYSCHEDULE outputs  $A_t$ , which is a **maximum** compatible set. □

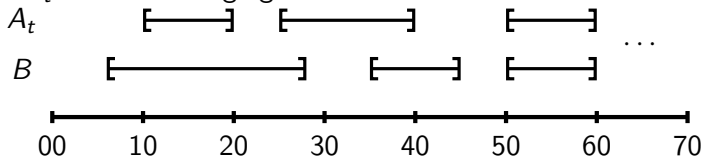
This technique of proving that the greedy solution “stays ahead” of any other solution is very useful for other greedy algorithms as well!

# An alternative proof of optimality

$A^+ := \arg \min\{f : (s, f) \in \mathcal{R}, A \cup \{(s, f)\} \text{ is compatible}\}$  for all  $A \subseteq \mathcal{R}$ ,

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An alternative method: show that any maximum compatible set  $B$  can be **turned into**  $A_t$  without changing the number of intervals.

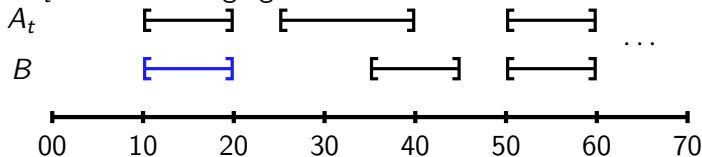


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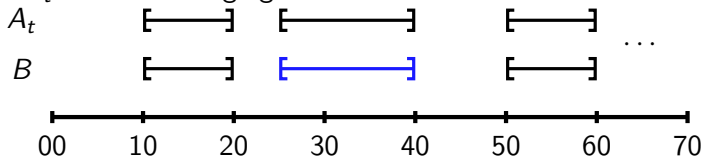


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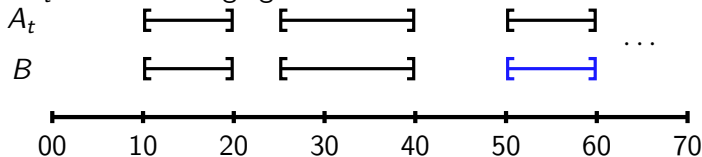


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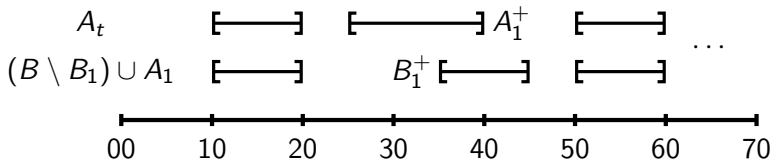




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**Lemma:** Suppose  $B$  is compatible and  $|B| \geq |A_t|$ , and let  $B_i$  consist of the  $i \geq 0$  fastest-finishing elements of  $B$ . Then  $(B \setminus B_i) \cup A_i$  is compatible.

**Proof:** By induction on  $i$ .      **Base case:** Immediate for  $i = 0$ .      ✓

**Inductive step:** Suppose  $(B \setminus B_i) \cup A_i$  is compatible, write  $B_{i+1} \setminus B_i = \{B_i^+\}$ . Then we are done if  $A_i^+$  is compatible with  $A_i$  and  $B \setminus B_{i+1}$ .

$A_i^+$  is compatible with  $A_i$  by definition. By induction,  $B \setminus B_i \cup A_i$  is compatible, so  $B_i^+$  is compatible with  $A_i$ , so  $A_i^+$  finishes earlier than  $B_i^+$  by definition. Hence  $A_i^+$  is also compatible with  $B \setminus B_{i+1}$ .      ✓

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**Theorem:**  $A_t$  is a **maximum** compatible set.

On taking  $i = t$ , we see that  $(B \setminus B_t) \cup A_t$  is compatible — i.e. we can remove the first  $t$  intervals from  $B$  and replace them with the whole of  $A_t$ .

Since  $A_t$  is **maximal** — that is, since we can't add any intervals to  $A_t$  and keep it compatible — it follows that  $|B| = |A_t|$ .

**(Exercise:** Prove that  $A_t$  is maximal...)

# Choosing between the two methods

Both types of argument used this lecture, “**greedy stays ahead** proofs” and “**exchange** proofs”, are powerful and widely-used.

Sometimes only one approach will work easily, but often (like here) the two approaches feel like they are doing the same thing under the surface. Use whichever one you find more natural — it’s a matter of taste!

