Correctness proofs for interval scheduling COMS20010 (Algorithms II)

John Lapinskas, University of Bristol

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Goal: Prove our pseudocode GREEDYSCHEDULE is correct.



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$$\begin{split} A^+ &:= \arg\min\{f : (s, f) \in \mathcal{R}, A \cup \{(s, f)\} \text{ is compatible}\} \text{ for all } A \subseteq \mathcal{R}, \\ A_0 &:= \emptyset, \qquad A_{i+1} := A_i \cup \{A_i^+\}, \end{split}$$

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So (we think) GREEDYSCHEDULE calculates A_0, \ldots, A_t and outputs A_t . Much easier to work from this than pseudocode when proving correctness!

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	Algorithm: GREEDYSCHEDULE			
	Input : An array \mathcal{R} of <i>n</i> requests.			
	Output : Maximum compatible subset of \mathcal{R} .			
1	begin			
2	Sort \mathcal{R} 's entries so that			
	$\mathcal{R} \leftarrow [(s_1, f_1), \dots, (s_n, f_n)]$ where $f_1 \leq \dots \leq f_n$.			
3	Initialise $A \leftarrow []$, lastf $\leftarrow 0$.			
4	foreach $i \in \{1, \ldots, n\}$ do			
5	if $s_i \geq \texttt{lastf}$ then			
6	Append (s_i, f_i) to A and update			
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7	Return A.			

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Lemma: GREEDYSCHEDULE always outputs A_t .

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Proof: By induction from the following loop invariant. At the start of the *i*'th iteration of 4–7:

- A is equal to $A_t \cap \{(s_1, f_1), \dots, (s_{i-1}, f_{i-1})\};$
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Step 2: Prove our algorithm outputs a compatible set

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Lemma: GREEDYSCHEDULE outputs A_t .

Lemma: A_t is a compatible set.

Proof: Instant by induction; A_0 is compatible, and if A_i is compatible then so is $A_{i+1} = A_i \cup \{A^+\}$ by the definition of A_i^+ .

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Sometimes, life is easy!

(Without the lemma, this would have needed a tedious loop invariant...)

Step 3: Prove our algorithm outputs a maximum set

 $\begin{aligned} A^+ &:= \arg\min\{f : (s, f) \in \mathcal{R}, A \cup \{(s, f)\} \text{ is compatible}\} \text{ for all } A \subseteq \mathcal{R}, \\ A_0 &:= \emptyset, \qquad A_{i+1} := A_i \cup \{A_i^+\}, \qquad t := \max\{i : A_i \text{ is defined}\}. \end{aligned}$

Lemma: GREEDYSCHEDULE outputs A_t . **Lemma:** A_t is a compatible set.

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Proof: This is harder! But there's a trick: prove that if we compare A_t to any other compatible set, A_t will always "do better" on a request-by-request basis (not just overall). We can prove this by induction.

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More formally, let $B \subseteq \mathcal{R}$ be any other compatible set with $|B| \ge |A_t|$, and let B_i consist of the *i* fastest-finishing elements of *B*.

Then we will show by induction that for all $0 \le i \le t$, the last finish time of B_i is no earlier than the last finish time of A_i .

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Proof: By induction on *i*.



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Base case: A_0^+ is the fastest-finishing request in \mathcal{R} by definition.

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Inductive step: Suppose A_i finishes faster than B_i .

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Lemma: GREEDYSCHEDULE outputs A_t .

Lemma: A_t is a compatible set.

Lemma: Let $B \subseteq \mathcal{R}$ be any compatible set with $|B| \ge |A_t|$, and let B_i consist of the *i* fastest-finishing elements of *B*. Then $i \le t$, and the last finish time of B_i is no earlier than the last finish time of A_i .

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Putting it all together, we obtain...

Theorem: GREEDYSCHEDULE outputs A_t , which is a maximum compatible set.

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This technique of proving that the greedy solution "stays ahead" of any other solution is very useful for other greedy algorithms as well!

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$$A_t$$
 H_t H_t



Proof: By induction on *i*.





 $A^+ := \arg\min\{f : (s, f) \in \mathcal{R}, A \cup \{(s, f)\} \text{ is compatible}\}$ for all $A \subseteq \mathcal{R}$, $A_0 := \emptyset, \qquad A_{i+1} := A_i \cup \{A_i^+\}, \qquad t := \max\{i : A_i \text{ is defined}\}.$ A_t $[----] A_1^+ [----]$ $(B \setminus B_1) \cup A_1 \models B_1^+ \models B_1^- \models B_$ 00 10 20 30 40 50 60 70 **Lemma:** Suppose B is compatible and $|B| \ge |A_t|$, and let B_i consist of the $i \geq 0$ fastest-finishing elements of B. Then $(B \setminus B_i) \cup A_i$ is compatible. **Proof:** By induction on *i*. **Base case:** Immediate for i = 0. **Inductive step:** Suppose $(B \setminus B_i) \cup A_i$ is compatible, write $B_{i+1} \setminus B_i = \{B_i^+\}$. Then we are done if A_i^+ is compatible with A_i and $B \setminus B_{i+1}$. A_i^+ is compatible with A_i by definition.



$$\begin{split} A^+ &:= \arg\min\{f \colon (s, f) \in \mathcal{R} \setminus A, A \cup \{(s, f)\} \text{ is compatible}\} \text{ for all } A \subseteq \mathcal{R}, \\ A_0 &:= \emptyset, \qquad A_{i+1} := A_i \cup \{A_i^+\}, \qquad t := \max\{i \colon A_i \text{ is defined}\}. \end{split}$$

Lemma: GREEDYSCHEDULE outputs A_t .

Lemma: A_t is a compatible set.

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Theorem: A_t is a **maximum** compatible set.

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Theorem: A_t is a **maximum** compatible set.

On taking i = t, we see that $(B \setminus B_t) \cup A_t$ is compatible — i.e. we can remove the first *t* intervals from *B* and replace them with the whole of A_t .

Since A_t is maximal — that is, since we can't add any intervals to A_t and keep it compatible — it follows that $|B| = |A_t|$.

(**Exercise:** Prove that A_t is maximal...)

Both types of argument used this lecture, "greedy stays ahead proofs" and "exchange proofs", are powerful and widely-used.

Sometimes only one approach will work easily, but often (like here) the two approaches feel like they are doing the same thing under the surface. Use whichever one you find more natural — it's a matter of taste!

Choosing between the two methods

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